

**RiverFront Award Information.** *Note: None of the awards described below were based on RiverFront performance or client experience.*

**ETF Strategist of the Year (2014):** Awarded to the ETF strategist/ETF model portfolio provider who has done the most to improve investor outcomes in the previous year.”

**Best ETF Strategist:** Awarded to the ETF strategist or model portfolio provider that has done the most to improve investor outcomes in the previous year. This year, the category’s winner was a tie between two outstanding firms: RiverFront Investment Group and Sage Advisory Services.

ETF.com Award winners are selected in a three-part process designed to leverage the insights and opinions of leaders throughout the ETF industry.

#### Step 1

The awards process begins with an open nominations process. Interested parties are invited to submit nominations using this survey form. Self-nominations are accepted.

#### Step 2

Following the open nominations process, the ETF.com Awards Nominating Committee—made up of senior members at ETF.com and the FactSet ETF team (for 2015)—will vote to select up to five finalists in each category. Votes will be cast on a majority basis, and ties broken where possible with head-to-head runoff votes. If ties cannot be broken, more than five finalists are allowed.

#### Step 3

Winners among these finalists will be selected by a majority vote of the ETF.com Awards Selection Committee, a group of independent ETF experts from throughout the ETF community. Committee members will recuse themselves from voting in any category in which they or their firms appear as finalists. Ties will be decided where possible with head-to-head runoff votes. **Note: Rob Glowonia, fixed income analyst at RiverFront was a member of the ETF.com 2015 Awards Selection Committee.**

#### **Money Management Institute Disclosure (2012)**

Advisory Solutions Emerging Manager Exhibiting Greatest Growth — This distinction is awarded by Money Management Institute to the emerging investment manager with less than \$2 billion in assets that demonstrated strong growth in assets and made a meaningful contribution to the managed solutions industry. This distinction is no indication of RiverFront’s future performance.

**Best Places to Work in Virginia:** Best Places to Work in Virginia is a survey competition to determine which participants are the best employers. The process is managed by Best Companies Group (BCG) and winners are published in Virginia Business Magazine.

**ETF Report: 2014 ETF All-Stars: Michael Jones:** ETF Report selects individuals that they believe are the most effective and innovative ETF strategists in the business.

**Research Magazine's "ETF Advisor Hall of Fame: Pioneers in Portfolio Management", October 1, 2009:** as identified by Research Magazine using qualitative criteria and selected from a pool of approximately 25 nominations. Qualitative factors evaluated and decided by the contest judge include but are not limited to: financial advisors that have ETFs as a central focus of their financial services, financial advisors that have a large portion of total AUM invested in ETFs/ETF portfolios, financial advisors that utilize ETFs through multiple model portfolios that can be mixed/matched to client needs, financial

advisors that are setting a good example for other advisors to follow and creativity. Investment performance and client evaluations are not taken into consideration.

**Top Places to Work in Richmond 2018:** *The Richmond Times-Dispatch has recognized 65 Companies and Organizations in Richmond, VA as Top Workplaces for 2018. These companies have been recognized based solely on surveys about the workplace completed by their employees.*

*For more information on these awards, please contact RiverFront at [info@riverfrontig.com](mailto:info@riverfrontig.com)*